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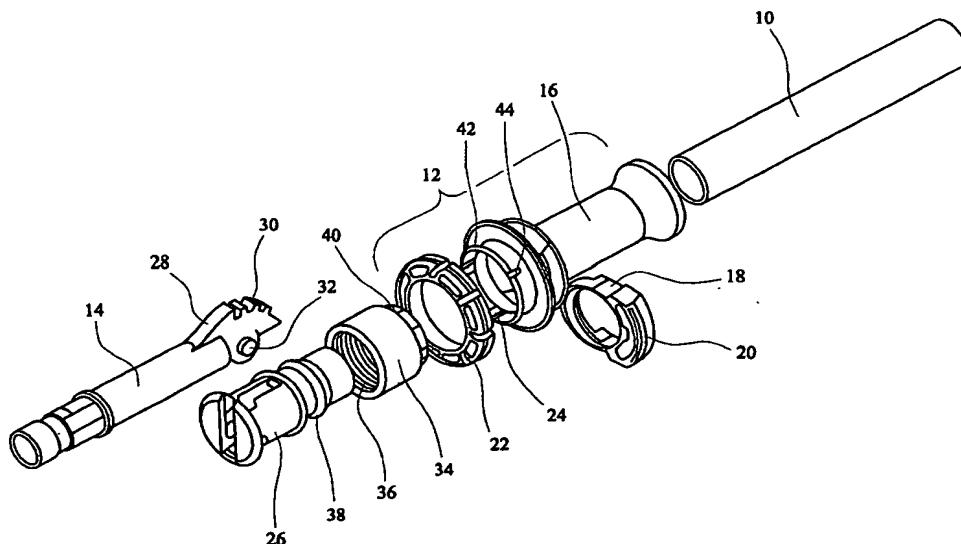
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(54) Title: **TILTING UMBRELLA**



(57) Abstract: An umbrella frame has a two-part shaft, having a lower portion (10), upon which a runner (12) is slidably mounted, and an upper portion (14). When assembled the upper portion (14) of the shaft is mounted on the lower portion (10) of the shaft by means which allow it to tilt with respect to the lower portion, when the runner is rotated after having opened the umbrella frame. With the frame in the open position the runner engages with a rotating element (34) having a helical thread (36). The thread engages with teeth (30) on a gear element (28) attached to the upper portion of the shaft. Rotation of the rotating element causes the teeth to ride in the thread, which causes the upper portion to tilt. This makes it possible for a user to tilt the umbrella simply by rotating the runner.

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

TILTING UMBRELLA

5 The present invention relates to an umbrella for
use in protection against the sun, wind or rain, having
a progressive tilting mechanism with which the top of
the umbrella can be orientated into a required
position; such umbrellas can be used as fishing
10 umbrellas or café parasols, for instance.

Prior art tilting mechanisms exist in which the
tilting action takes place as the runner reaches its
top position, i.e. when the umbrella is completely
unfurled. At this point further movement of the runner
15 along the shaft engages a further member associated
with the shaft near the tilting joint, causing the tilt
to be carried out. In most tilting umbrellas, such as
for instance in US 3850186 (Weber et al.), US 4697606
(Ma) or US 5029596 (Tung) the runner first slides on to
20 the upper shaft part, and then tilts with it.

There also exist devices of the type shown in
US-A-3182673 and others by S. N. Small, where the tilt
is above the runner. While these have been known for a
long time they involve the disadvantage that the
25 insertion of a considerable number of connecting parts
into the shaft is problematic from the manufacturing
point of view.

The applicant's earlier WO 99/56579 discloses a
tilting umbrella wherein the tilting hinge is located
30 above the runner and the tilting action is brought
about by movement of the runner, as in the Small
patents. However, in contrast to the Small patents the
runner pushes a lever connected to and arranged outside
the upper shaft part. This avoids the problem of
35 having numerous connecting parts within the shaft and
therefore is beneficial from a manufacturing
perspective.

However, this umbrella and the Small patents suffer the problem that the tilting mechanism is dependent on the mechanism that opens the umbrella. Therefore, when the runner is in the position wherein
5 the cover of the umbrella is fully unfurled, and the further movement of the runner is transformed into tilting of the umbrella, the tilting travel is against the cover tension, thereby requiring more effort from the user.

10 Embodiments of the present invention aim to provide an umbrella with a simple and easy-to-use tilting mechanism which overcomes these problems.

The present invention provides an umbrella frame comprising: a shaft having an upper portion and a lower
15 portion, the upper portion being connected to the lower portion in such a way that the upper portion can tilt with respect to the lower portion; a runner slidably mounted on the lower shaft; and mechanical linking means linking the runner to the upper portion of the
20 shaft when the runner is in its upper location, in such a way as to cause the upper portion of the shaft to tilt when the runner is rotated about the shaft axis.

Preferably the mechanical linking means includes a rotatable element which can be engaged by the runner in
25 its upper location so that when the runner is rotated on the lower portion of the shaft the rotatable element is rotated. Preferably also the rotatable element is in permanent drive connection with the upper shaft, so that the runner only has to engage in the direction of
30 rotation, which simplifies the engagement.

The mechanical linking means may further comprise: a gear element, having one or more teeth, in the upper
portion of the shaft; and a pivot block at the top of the lower portion for receiving the upper portion of
35 the shaft in a tiltable manner; and the runner or the rotatable element may have a substantially helical thread engaging at least one of the teeth on the gear element, so that when the rotatable element is turned

about the axis of the lower shaft portion, the teeth in the gear element cause the upper portion of the shaft to be tilted.

Preferably the rotating element engages with the runner when the runner is slid up the shaft to unfurl the umbrella, so that subsequent rotation of the runner rotates the rotating element and thus tilts the umbrella. The rotating element may engage with the runner with corresponding lugs and tabs, or by use of a cam.

The rotating element may be tubular, having the substantially helical thread on its inside surface, and may be located so that in the assembled state of the umbrella at least part of the pivot block is disposed inside the rotating element permanently, wherein the gear element is received in the pivot block in such a way that at least one of the one or more teeth protrudes outside the pivot block and engages with the substantially helical thread.

The rotating element may alternatively be a worm, located inside the pivot block.

According to another aspect of the invention there is provided a runner for use on an umbrella shaft, and a notch ring, wherein the notch ring is disposed on the runner and can rotate about the runner axis. Preferably the bearing part on the runner can be compressed to allow the notch ring to be assembled.

For a better understanding of the invention reference will now be made to the accompanying drawings, in which:

Fig. 1, shows an exploded view of an umbrella shaft;

Fig. 2 shows an umbrella frame in an untilted state;

Fig. 3 shows an umbrella frame in a tilted state.

Fig. 1 shows an exploded view of an umbrella shaft, having a lower portion 10, upon which a

runner 12 is slidably mounted, and an upper portion 14. For convenience the words "upper" and "lower" are used as labels for the parts, relating to the orientation of the umbrella in normal use; they are not to be

5 construed as limiting in terms of location in space. In the umbrella of Fig. 1 when assembled the upper portion 14 of the shaft is mounted on the lower portion 10 of the shaft by means which allow it to tilt with respect to the lower portion.

10 The runner 12 comprises: a runner body 16, an annular locking member 18 having a button 20, and a notch ring 22. The end of the body 16 is annular, and on its inside surface there are one or more tabs 24 in the end nearer the upper part 14 of the shaft; the end
15 of this body could also be cam-shaped. In the assembled umbrella of Fig. 1 ribs extend from a notch piece at the top of the upper portion 14 of the shaft; stretchers, likewise not shown, extend from notches on the notch ring 22 to corresponding points part-way
20 along the ribs so as to hold the umbrella open when the runner is in its raised position.

In use the locking member 18 is located coaxially inside the runner body, the button 20 protruding through a suitable opening in the wall of the body 16.
25 The notch ring 22 is located coaxially on the end of the body 16 nearer to the upper portion 14 of the shaft. All the parts shown except the lower shaft 10, which is usually of steel, can be made of suitable plastics materials.

30 In the assembled umbrella the upper portion 14 of the shaft is attached to the lower portion by means of a pivot block 26, which is fixed to, and forms the upper end of, the lower portion 10 of the shaft. The upper portion 14 of the shaft has a gear element 28,
35 having teeth 30, at the end nearer the lower portion 10 of the shaft. The gear element 28 is mounted in an opening in the pivot block 26 by means of a bar 32, so that the upper portion 14 of the shaft can rotate in

the (vertical) plane of the teeth 30, and so that some of the teeth 30 protrude from the opening. A tubular rotating element 34 having a helical thread 36 on its inside surface fits over the pivot block 26, so that at least some of the protruding teeth 30 engage with the helical thread 36 and the gear element, and hence the pivot end of the upper shaft portion, is held captive on the pivot block. Since some of the teeth always engage with the rotating element there is no need to engage the teeth to tilt the umbrella; the user only needs to engage the runner body with the rotating element. In order to arrange the teeth to be permanently engaged with the rotatable element it may initially be necessary to insert the gear element into the pivot block so that no teeth protrude, and then insert the pivot block into the rotatable element and move the gear element into its required position. A worm could be inserted into the pivot block 26 instead of using the rotating element 34; the runner would then be arranged to engage with the thread of the worm.

To open the assembled umbrella the runner 12 is slid up the lower portion 10 of the shaft towards the upper portion 14 of the shaft. By way of a bevel, or by depression of the button 20, deforming the locking member 18, the button passes over a lip 38 on the pivot block 26. The button then springs back or is released, preventing the locking member from returning over the lip 38, and thus preventing the runner 12 from sliding down the lower portion 10 of the shaft. The tabs 24 on the inner surface of the annulus at the top of the runner body engage with lugs 40 on the rotating element 34. The notch ring 22 is not rigidly attached to the runner body, as is the normal practice, but allows the runner body to rotate concentrically within it. The notch ring fits over the end of the runner body, and is held in place by a lip 42. The end of the runner body has one or more notches 44 to allow it to be compressed so that the notch ring can slide over the

lip. The notch ring 22 is constrained by the stretchers to which it is attached and is thereby prevented from rotating. To close the umbrella the button 20 is depressed and the runner 12 again passes
5 over the lip 38.

If the head of the umbrella is to be tilted the runner body 16 is rotated. This in turn causes the rotating element 34 to rotate by engagement of the lugs 40 with the tabs 24. The spaces between latter
10 are circumferentially somewhat wider than the lugs, to make it easier to insert the lugs; hence there will usually be a short free travel distance before engagement. The rotation of the rotating element 34 causes the tracks in the helix 36 to ride over the
15 teeth 30 that are engaged in the helix. The side of the gear element opposite the teeth should be a circular disc to allow free movement as the upper shaft pivots. Since the gear element 28 of the upper portion 14 of the shaft is limited to moving in the
20 plane of the teeth, the motion of the helix 36 over the teeth 30 causes the teeth to move vertically; the gear element 28 thus rotates, causing the upper portion 14 of the shaft to tilt away from the vertical if the helix is turned in one direction. Thus, the head of
25 the umbrella attached to the upper portion 14 of the shaft tilts. The maximum angle of tilt is governed by the number of teeth on the gear element 28, and the number of turns of the helix 36. For ease of use it is advantageous for the head of the umbrella to be tilted
30 fully by giving the runner body 16 two to three turns.

Preferably the gear arrangement is self-locking so that the wind cannot cause (or undo) any tilting.

The tilting achieved by this method is progressive and has the advantage that any degree of tilt between
35 the vertical and the maximum angle of tilt allowed by the umbrella can be obtained. Furthermore, the method of tilting is very simple and is achieved by using an easily accessible part of the umbrella.

To return the umbrella to its upright position the runner body 16 is rotated in the opposite direction. This causes the teeth 30 in the track of the helix 36 to move, causing the gear element to rotate in the opposite direction, and the upper portion 14 of the shaft to return to the vertical.

Fig. 2 shows an assembled umbrella frame, in its untilted position. In this diagram the upper portion of the shaft 14 is not tilted with respect to the lower portion 10 of the shaft. Ribs 40 extend from a notch piece at the top of the upper portion 14. Furthermore, stretchers 42 extend from notches on the notch ring 22 to points part-way along the ribs so as to hold the umbrella open when the runner is in its raised position. Typically, a cover (not shown) would be fitted over the ribs.

Fig. 3 shows an assembled umbrella frame, in its tilted position. The upper portion 14 of the shaft has been tilted with respect to the lower portion 10 by tilting the runner 12. Thus the ribs 40 attached to the shaft are also tilted.

CLAIMS

1. An umbrella frame comprising: a shaft having an upper portion (14) and a lower portion (10), the upper portion being connected to the lower portion in such a way that the upper portion can tilt with respect to the lower portion; a runner (12) mounted on the lower shaft portion (10) so as to slide up and down to open and close the umbrella; and mechanical linking means, including a lower component (36) associated with the runner and an upper component (28) associated with the upper shaft portion, linking the runner to the upper portion of the shaft when the runner is in its upper location, in such a way as to cause the upper portion of the shaft to tilt when the runner is rotated.

2. An umbrella frame as claimed in claim 1, wherein the mechanical linking means includes an element (34) rotatably mounted on the lower shaft portion and carrying the said lower component which can be engaged by the runner (12) as it is slid up the shaft so that when the runner is then rotated about the lower portion of the shaft the rotatable element is rotated with it, tilting the upper portion.

3. An umbrella frame as claimed in claim 2, wherein the rotatable element is in permanent drive connection with the upper shaft for the tilt action.

4. An umbrella frame as claimed in claim 2 or 3, wherein the rotating element (34) engages with the runner when the runner (12) is slid up the shaft to unfurl a cover attached to the umbrella frame, so that subsequent rotation of the runner rotates the rotating element and thus tilts the umbrella cover.

5. An umbrella frame as claimed in claim 4, wherein the rotating element (34) engages with the runner with corresponding lugs (40) and tabs (24), or by use of a cam.

5

6. An umbrella frame as claimed in any preceding claim, wherein the upper component of the mechanical linking means comprises: a gear element (28), having one or more teeth (30), associated with the upper
10 portion (14) of the shaft; and a pivot block (26) at the top of the lower portion (10) for receiving the upper portion of the shaft in a tiltable manner; and the lower component (28) of the linking means comprises
15 a substantially helical thread engaging the teeth on the gear element, so that when the runner is turned about the axis of the lower shaft portion (11), the teeth in the gear element cause the upper portion of the shaft to be tilted.

20 7. An umbrella frame as claimed in any of claims 2 to 5 and 6, wherein the rotating element (34) is tubular, having the substantially helical thread on its inside surface, and is located so that in the assembled state of the umbrella at least part of the
25 pivot block (26) is disposed inside the rotating element permanently, and wherein the gear element is received in the pivot block in such a way that at least one of the one or more teeth protrudes outside the pivot block and engages with the substantially helical
30 thread.

8. An umbrella frame according to any of claims 2 to 5 and 6, wherein the rotating element is a worm, located inside the pivot block.

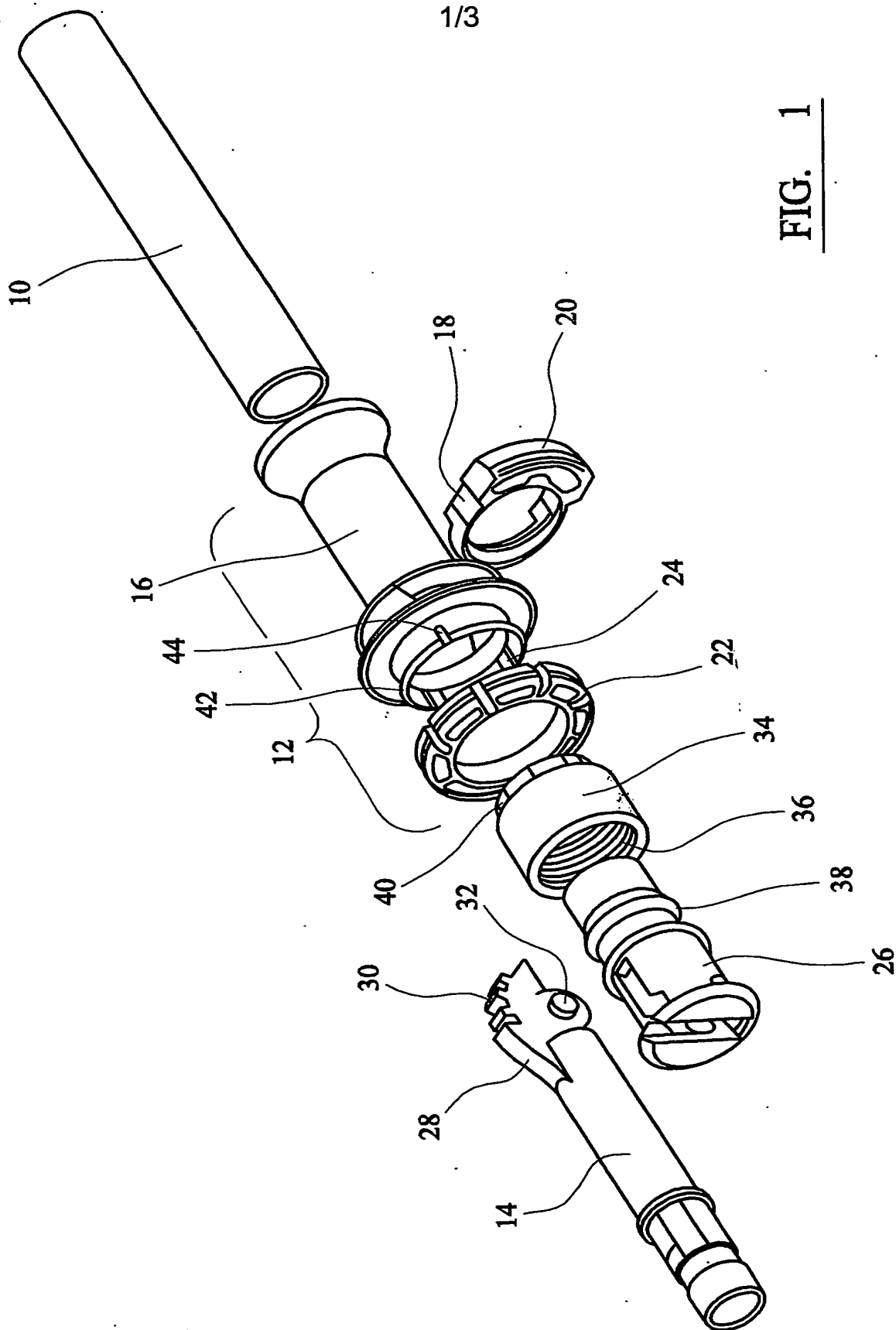
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9. An umbrella frame according to any preceding claim, in which the runner includes a notch ring (22) mounted rotatably about the runner axis.

10. A runner for use on an umbrella shaft, including a runner body (16) and a notch ring (22) to which the inner ends of the stretchers are to be
- 5 attached, wherein the notch ring is disposed on the runner body and can rotate about the runner axis so as to allow the runner body to be rotated with respect to the umbrella shaft.
- 10 11. A runner according to claim 10, further including a bearing part on the runner which can be radially compressed to allow the notch ring to be assembled.

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FIG. 1



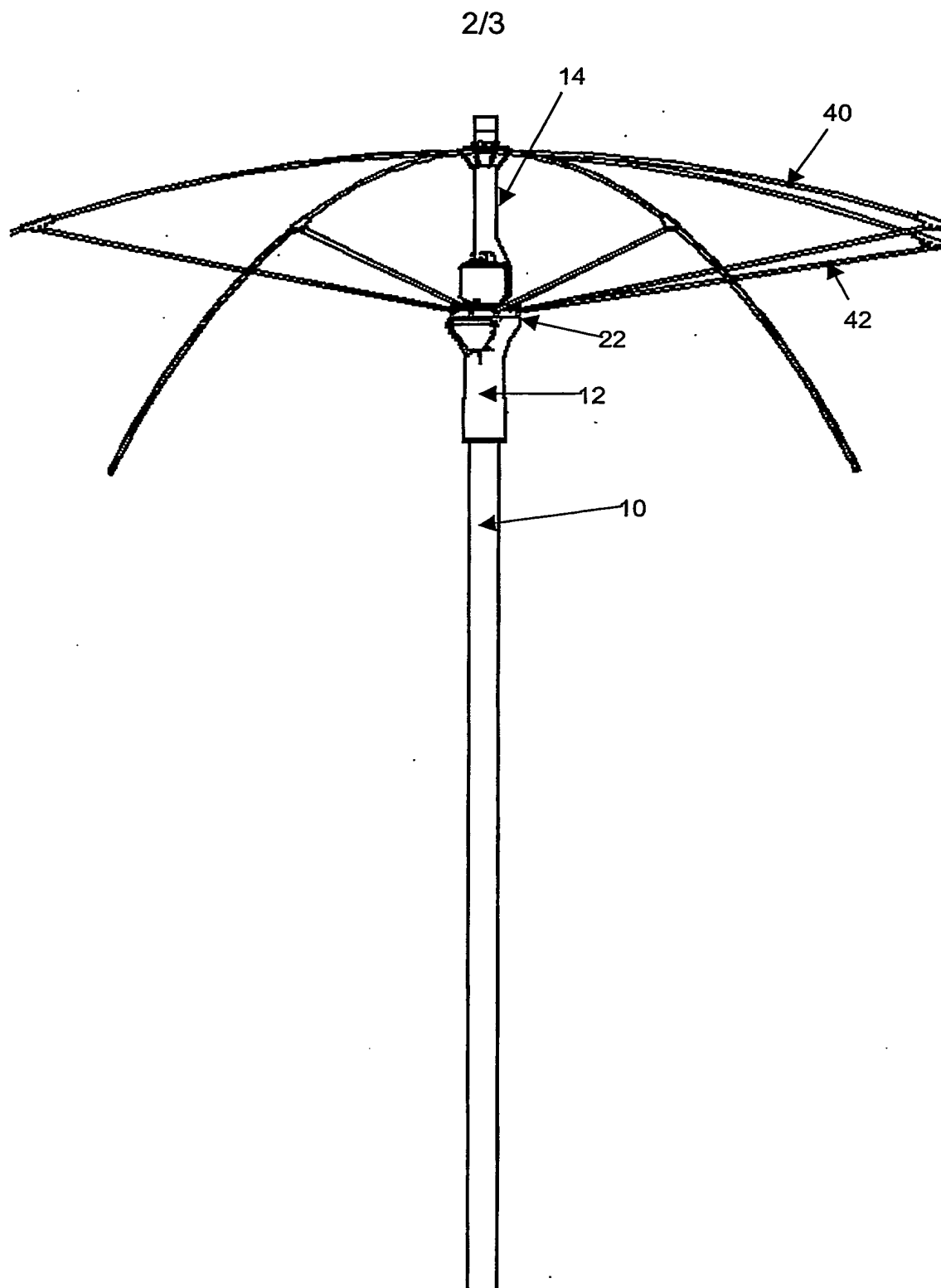


Fig. 2

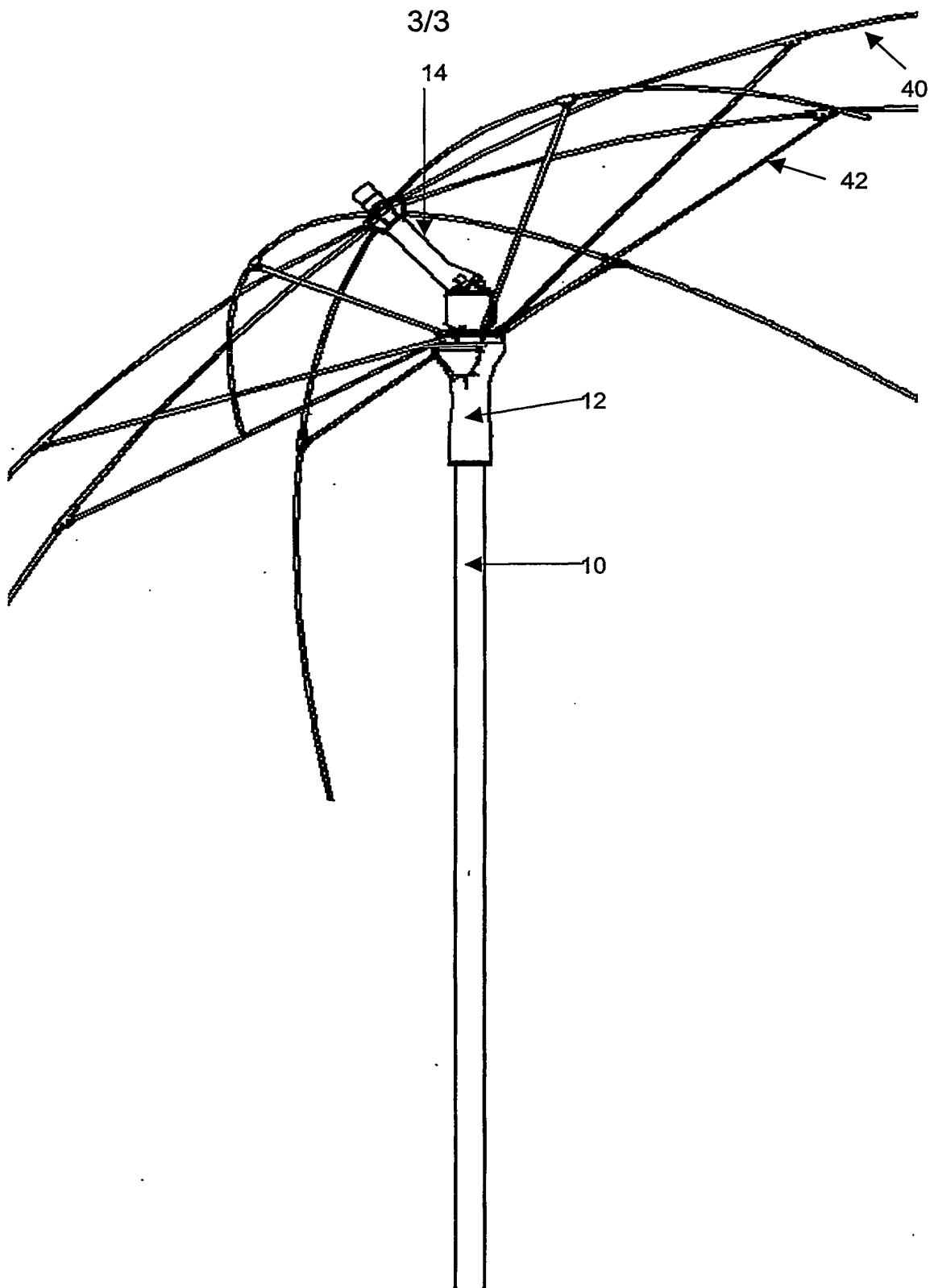


Fig. 3

INTERNATIONAL SEARCH REPORT

International Application No
PCT/GB 03/00940

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A45B17/00 A45B25/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A45B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR 715 401 A (PAGES MARCEL-JEAN) 3 December 1931 (1931-12-03) page 2, line 9-14; figure 3 ---	10
A	EP 0 681 794 A (HOYLAND FOX LTD) 15 November 1995 (1995-11-15) the whole document ---	1-9
A	GB 410 808 A (THOMAS SIDNEY SMITH) 23 May 1934 (1934-05-23) the whole document ---	1-9
A	FR 1 493 969 A (AVONI FERRUCCIO) 1 September 1967 (1967-09-01) the whole document --- -/--	1-9



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Patent family members are listed in annex.

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 03/00940

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	DE 130 141 C (PETER PEDERSON) 7 April 1901 (1901-04-07) the whole document -----	1-9

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 03/00940

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DE 498232	C	19-05-1930	NONE	
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